

HFC Guidelines Manual

SCDPH HEALTH FACILITIES CONSTRUCTION

VERSION: 211201_Draft Revision, May 15, 2025

The information in this manual is intended to provide assistance and guidance with HFC's regulatory oversight process for health care facilities requiring licensure through Office of Facilities Licensing. This document is subject to updates on an ongoing basis so please continually check our website and update your copy to confirm that you have the most current version.

Table of Contents

1. Health Facilities Construction (HFC)

- 1.1. HFC Office Location
- 1.2. Abbreviations and Notifications
- 1.3. Purpose of the Division
- 1.4. Purpose of the Guidelines Manual
- 1.5. Administration Fees Document Reviews and Construction Inspections

2. Facility Licensing and HFC Review

- 2.1. Facilities Licensed by DPH Division of Health Care Facilities Licensing and Reviewed by HFC
- 2.2. Facilities That Are Not Licensed by DPH Division Health Care Facilities Licensing
- 2.3. Regulations
- 2.4. Exception from a Specific Requirement in a Licensing Regulation
- 2.5. Work Performed at Existing Licensed Facilities When to Involve HFC

3. Applicable Building Codes, Standards, and Regulations

- 3.1. Building Codes
- 3.2. Building Standards
- 3.3. Adopted Revisions Policy
- 3.4. Grandfathering Policy for Existing Facilities

4. Process - How to Work with HFC

- 4.1. Overall Process for Working with HFC
- 4.2. Construction Project Information Form (CPIF)
- 4.3. Project Plan Review Process
- 4.4. Continued Documentation after Final Plan Acceptance
- 4.5. Construction Phase Inspections
- 4.6. Fire and Life Safety During Construction

5. Appendices

- 5.1 Appendix 1 When a Project Must Undergo the HFC Review Process
- 5.2 Appendix 3 List of Building Codes and Standards
- 5.3 Appendix 4 Design Professionals
- 5.4 Appendix 5 Schematic Design (SD) Plan Review Session Minimum Documents
- 5.5 Appendix 6 Plan Review Comment Documentation Form

Health Facilities Construction (HFC) Guidelines Manual

- 5.6 Appendix 7 Design Development (DD) Plan Review Session Minimum Documents
- 5.7 Appendix 8 Final Construction Document (CD) Plan Review Session Minimum Documents
- 5.8 Appendix 9 Required Format of Fire and Life Safety Plan and Documentation of Building Code
- 5.9 Appendix 10 Documents Required for Final Plan Acceptance
- 5.10 Appendix 11 Construction Phase Inspections
- 5.11 Appendix 12 Documents Required for Final Project Acceptance
- 5.12 Appendix 13 Sample Sign/Countersign Worksheet for Inspection Documentation
- 5.13 Appendix 14 Guidelines for Fire and Life Safety During Construction

1.1. HFC Office Location

The main offices of Health Facilities Construction is:

South Carolina Health Facilities Construction Section 400 Otarre Parkway Cayce, SC 29033 (803) 545-4215

1.2. Abbreviations and Notifications

DPH	Department of Public Health
BOS	Bureau of Operation Support
OLS	Office of Licensing Services
CON	Certificate of Need
HFC	Heath Facilities Construction
FLS	Fire and Life Safety
CPIF	Construction Project Information Form
NOC	Notice of (Construction) Completion
HQ	Healthcare Quality

Notification Type	Subject Line	Address
Construction Project Information Form	CPIF-Project Name-County	DHFCadmin@dph.sc.gov
Plan Review Requests	Plan Review Request-Project Name-County	DHFCadmin@dph.sc.gov
Construction Inspection Request	Inspection Request-Project Name-County	DHFCadmin@dph.sc.gov
Fire Watch	Fire Watch-Facility Name-County	firewatch@dph.sc.gov
Fire Incident	Fire Incident-Facility Name-County	firewatch@dph.sc.gov

1.3. Purpose of the Division

The South Carolina Department of Public Health (SC DPH), Health Facilities Construction Section (HFC) exists to provide the regulatory oversight of health care facilities required by the State of South Carolina to be licensed through the SC DPH Office of Licensing Services (OLS) to ensure that these facilities meet minimum regulation and building code requirements. This entails the review of design and construction plans, specifications, documents, and construction for all newly licensed facilities and renovations to existing licensed facilities. Facilities that do not require state licensing, such as doctors' offices and some outpatient clinics, are not reviewed or inspected by HFC. The following provides a list of the types of facilities that are required to be licensed by the Department of Public Health:

https://dph.sc.gov/professionals/healthcare-quality/licensed-facilities-professionals

The licensing process often begins with an application to the Certificate of Need (CON) program. Many types of services must obtain a CON before they can begin the project and ultimately be licensed. Please consult the Certificate of Need homepage located on SCDPH's website for more information https://dph.sc.gov/professionals/healthcare-quality/certificate-need-con

And ensure that the CON number or exemption number appear on all correspondence with HFC.

Those facilities which do not require a CON for operation may require a CON Exemption Number or Non-Applicability Determination (NAD) for expansions, renovations, or for the introduction of new services. If you are not sure, please contact the CON Program at coninfo@dph.sc.gov.

Only after the project has cleared the CON process and a licensing application has been submitted to OLS, can HFC get involved and begin our review process. The Office of Licensing Services requirements and licensing application can be viewed at the following website:

https://dph.sc.gov/professionals/healthcare-quality/applications-licensure.

The Office of Fire and Life Safety (FLS) is a component of Healthcare Quality that conducts periodic fire and life safety surveys of existing facilities to ensure continued compliance with the state adopted codes, standards and OLS regulations.

1.4. Purpose of the HFC Guidelines Manual

This manual is created and distributed to provide general information and general requirements for the design, construction, and approval process for facilities reviewed by our division. It *is not* intended as a design manual because it does not contain exhaustive requirements for the design of health care facilities. It *is* intended to provide guidance on the process of how to interface with HFC to facilitate the regulatory review of projects and ensure a smooth and beneficial working relationship with our division.

In exercising our regulatory responsibility, our goal is to facilitate the process by providing collaborative support while ensuring the safety of all facilities licensed by the Office of Licensing Services. Please feel free to call the HFC office for any clarifications and/or assistance at (803) 545-4215.

1.5. Administration Fees – Document Reviews and Construction Inspections

HFC charges fees for the services provided by the Division. These fees are for plan reviews and inspections during the construction phase of the project. Our plan review fee is based on the total estimated cost of the project whether new construction, an addition, or a renovation. The plan review fee and an itemization of the components of the total project cost are described in the instruction pages of the Construction Project Information Form (CPIF) in **Appendix 1** of these Guidelines. The fees are also as detailed in the table below.

HFC Fees – Construction Section		
Plan Review Fee		
Total Project Cost	Fee	
Less Than \$10,001.00	\$750	
\$10,001 - \$100,000	\$1,500	
\$100,001 - \$500,000	\$2,000	
> \$500,000	\$2,500	plus \$100 for each additional
/ \$300,000 	\$2,300	\$100,000 in project cost
Inspection Trip Fee		
In Wall Inspection	\$500	
Above Ceiling Inspection	\$500	
Final Inspection	\$500	

Upon completion of construction, the owner shall submit a certified final cost of the project within 90 days to HFC. An adjusted invoice for payment (or reimbursement for any overpayment) will be generated by HFC.

2. Facility Licensing and HFC Review

2.1. Facilities Licensed by DPH Office of Licensing Services (OLS) and Reviewed by HFC

HFC reviews construction plans, specifications, and inspects all new and renovated facilities that are licensed by DPH. These facilities include, but may not be limited to, the following:

Abortion Clinics - Regulation 61-12

Ambulatory Surgical Facilities - Regulation 61-91

Birthing Centers for Deliveries by Midwives - Regulation 61-102

Body Piercing Facilities - Regulation 61-109 **

Community Residential Care Facilities - Regulation 61-84

Day Care Facilities for Adults - Regulation 61-75

Facilities that Treat Individuals for Psychoactive Substance Abuse or Dependence - Inpatient - Regulation 61-93

Facilities that Treat Individuals for Psychoactive Substance Abuse or Dependence - Outpatient -

Freestanding or Mobile Technology - Regulation 61-108 *

Habilitation Centers for Persons with Intellectual Disability or Person with Related Conditions -

Regulation 61-13

Regulation 61-93

Hospices - Regulation 61-78

Hospitals and Institutional General Infirmaries - Regulation 61-16 ***

Nursing Homes - Regulation 61-17 ***

Renal Dialysis Facilities - Regulation 61-97

Residential Treatment Facilities for Children and Adolescents - Regulation 61-103

Tattoo Facilities - Regulation 61-111 **

2.2. Facilities that are not Licensed by DPH Office of Licensing Services

HFC does not review the construction plans, specifications, or inspect facilities not licensed by OLS. These facilities include, but may not be limited to, the following:

Doctor's Offices

Medical Office Buildings not housing licensed facilities

2.3. Regulations - DPH has developed regulations specific to each type facility licensed by the OLS as referenced in 2.1 and these regulations can be downloaded from:

^{*} These have limited review by HFC.

^{**} For the indicated facilities less than 5,000 square feet, a design professional may not be required by HFC.

^{***}These facilities are not allowed to have PVC. Act ceilings are not considered adequate for the prevention of the passage of smoke.

http://www.dph.sc.gov/professionals/permits-regulations/dph-laws-regulations-healthcare-quality

- **2.4. Exception from a Specific Requirement in a Licensing Regulation** A formal request can be presented for an exception to any statement or requirement in any of the Licensing Regulations. Any requested exception to either a building code requirement or to a standard referenced from the building code cannot be granted as these are building "laws" adopted by our state. However, any exception to the Regulations will be considered upon written request.
 - 2.4.1. <u>Application Form</u> The exception request form "Request for Exception to Licensing Standard" can be obtained here:

https://dph.sc.gov/sites/scdph/files/Library/Exception%20Request%20Form.pdf

2.4.2. <u>Submission Procedure</u> – Execute the application form and provide all supporting documentation in support of your request and mail the package to:

SCDPH Healthcare Quality
Attn: Request for Exception
400 Otarre Parkway
Cayce, SC 29033

Or e-mail the package to: ExR.OLS@dhec.sc.gov

- 2.4.3. <u>Review Procedure</u> The requests are reviewed by the Licensing Services Committee on a periodic basis. The committee will receive input from the appropriate departments within DPH and issue a written decision with guidelines for compliance should the exception be granted.
- 2.5. Work Performed at Existing Licensed Facilities When to Involve HFC
 - 2.5.1. <u>General Rules</u> HFC must be involved by providing plan reviews and inspections whenever the scope of work affects any building structural, mechanical, plumbing, electrical, or life safety system including rated wall or ceiling assemblies. A detailed description is contained in **Appendix 2** to this Guidelines Manual.
 - 2.5.2. <u>Clarification</u> Should clarification be desired as to whether or not a project requires the involvement of HFC for any current or future projects at your facility, please do not hesitate to contact the staff member assigned to your area or our office at (803) 545-4215.

2.5.3. <u>Project Delays</u> - If a project is delayed for a period of twelve months from the time of acceptance of final documents, a new approval is required by HFC.

3. Applicable Building Codes and Standards

- **3.1. Building Codes** The Building Codes utilized by HFC are the applicable building codes adopted by the State of South Carolina and the Office of the State Fire Marshal. They are listed in **Appendix 3** convenience.
- **3.2. Building Standards** Building Standards as referenced in the adopted building codes include but are not necessarily limited to those listed in **Appendix 3**. These standards apply when specifically referenced in the state-adopted building codes.
- **3.3. Adopted Revisions Policy** Building codes, building standards, and licensing regulations are subject to change during the design and construction of a project. The following policy is implemented when this situation arises.
 - 3.3.1. <u>Changes During the Plan Development Process</u> Unless otherwise approved by the Division, the building codes, building standards, and regulations in effect at the time of the acceptance of the Schematic Design Review shall govern for the entire project unless the project is delayed for a period of twelve months from the time of the acceptance of the Final Construction Documents. Upon such a delay, the entire review process starts over and any new building codes, building standards, and regulations shall apply.
- 3.4. Grandfathering Policy for Existing Facilities All buildings, new and existing, being licensed for the first time, increasing licensed beds or changing their license to provide a different service shall meet the current codes and regulations listed in 3.1 above. Any additions, alterations, or renovations to an existing licensed facility shall meet the current codes, regulations, and requirements listed above. A change in ownership does not require that a building be upgraded to meet current codes and regulations, provided the license is transferred to the new owner and the facility never had a zero census.

4. Process – How to Work with HFC

- **4.1. Overall Process for Working with HFC** Briefly, the process of getting a facility or space licensed is as follows. These steps are discussed in more detail in the following sections of these guidelines.
 - 1. Obtain CON approval if required (See 1.4):

https://dph.sc.gov/professionals/healthcare-quality/certificate-need-con/certificate-need-con-application

- For a new facility, fill out a licensing application located at:
 https://dph.sc.gov/professionals/healthcare-quality/applications-licensure for a new facility and obtain a verification letter for the project.
- 3. Provide a CPIF to HFC for processing. A copy of the CPIF is located in **Appendix 1** of this guidelines manual and a fillable form is located on our website at:

https://dph.sc.gov/professionals/healthcare-quality/health-facility-regulations-licensing-con/health-facilities

- 4. Receive plan review fee invoice from HFC.
- 5. Pay plan review fee to HFC.
- 6. Assemble construction documents and project manual of your project/facility.
- 7. Establish appointments for review of your construction documents by HFC Schematic Design Plan Review Session, Design Development Plan Review Session, and final Construction Documents Plan Review Session (submit documentation required for Final Plan Acceptance; **Appendix 10**).
- 8. Receive final plan review acceptance from HFC to proceed with construction.
- 9. Owner begins construction, alterations and/or renovations.
- 10. Three construction progress inspections are required by DHFC unless otherwise approved by the Division In Wall inspection, Above Ceiling inspection, and Final construction inspection (submit documentation required for Final Project Acceptance; **Appendix 12**).
- 11. Notice of Completion (NOC) is sent from HFC to the Office of Licensing Services and the facility.
- 12. Licensing inspection to allow occupancy of the project space unless noted otherwise in the NOC.
- 13. Certification inspection (if required for federal reimbursement, occurring several months after the facility is open.)
- 4.2. Construction Project Information Form (CPIF) Prior to any project review or meeting, the CPIF must be received by HFC. The CPIF should be completed and submitted as early in the process as possible since we cannot proceed in any way until an accurate and complete CPIF is entered into the HFC data base. Should the project require review by the CON staff or receive action by the Office of Licensing Services, HFC will issue a notice of receipt of the CPIF to the designated contacts on the CPIF that it will be held and entered upon project approval by CON or the Office of Licensing Services. Once received and entered, an invoice shall be generated and emailed to the owner and the design professional. This is a duplicate invoice and only needs to be paid once. The owner and design professional should coordinate payment.

Email the CPIF form to DHFCadmin@dph.sc.gov. On the subject line type provide the information in the following format "CPIF - Project Name - County." Once payment is received, you will be notified by email the HFC staff member assigned to your project.

- **4.3. Project Plan Review Process** The plan review process is completed in three review sessions unless otherwise approved by the Division– Schematic Design, Design Development, and final Construction Documents.
 - **4.3.1.** <u>Schedule a Plan Review Session</u> Once the review fee is paid, the lead design professional shall schedule the Schematic Design Plan Review <u>directly with the assigned HFC staff member</u>. During COVID, pandemic plan review meetings are conducted via teleconference.
 - Due to the high demand of this office, it is suggested that appointments be made as soon as the expected review date is determined. It is easier to postpone an appointment than to try to work around previously scheduled dates.
 - **4.3.2.** Attendance at Plan Review Sessions All plan reviews performed by HFC are done face-to-face or online on an appointment only basis. Plan documents received by HFC either by mail or hand-delivered will not be addressed. All plan review sessions are conducted with the Design Professional (See **Appendix 4** for the requirement for a Design Professional) and the Owner or Owner's Representative(s) present. The Design Professional may act as the Owner's Representative. The design professional shall be present at all plan reviews. Plan reviews will not be conducted in their absence. The design professional is responsible for taking detailed notes during the review session and, as early as practical following the plan review session, email a copy of the reviewer's comments with the actions taken by the professional in response to the comments in Excel format. A sample form to document the comments and resulting modifications to the drawings is contained in **Appendix 6** of this manual. An Excel file can be obtained from the HFC staff architect.
 - <u>Objective</u> The objective of the reviews is to achieve a set of code compliant documents that are properly sealed and contain all required documentation. Final construction documents must be properly sealed, signed by the professional in responsible charge of the project, and dated at the time of the final review.
 - **4.3.3.** <u>Documents to Bring to the Plan Review Sessions</u> Plan documents are in a continual state of development from Schematic Design to the completion of the Construction Documents. The documents, therefore, shall be additive building upon the documents accepted at the previous review session. The minimum documentation requirements necessary for each review session are itemized in Appendices to this Guidelines Manual Schematic Design (**Appendix 5**), Design

- Development (**Appendix 7**), and Final Construction Documents (**Appendix 8**). The lists are not intended to be exhaustive but, again, only establish a minimum requirement.
- 4.3.4. Final Plan Acceptance Upon receipt of the Documents Required for Final Plan Acceptance outlined in Appendix 10 to this Guidelines Manual, HFC will issue an acceptance of the final construction documents allowing construction work to begin for any licensed facility. The following statement shall apply whether stated or not in any project acceptance communication. This examination of the submitted documents does not relieve the Owner, Architect/Engineer, and Contractor, or their representatives from individual or collective responsibility to comply with the applicable codes and regulations. This review is not to be construed as a check of every item in the submitted documents and does not prevent authorities from hereafter requiring corrections of errors in plans or construction.
- **4.3.5.** Construction operations shall not commence until Final Plan Acceptance is issued by HFC. Substantial fines or penalties may be imposed if any construction work is commenced prior to the Final Plan Acceptance and project permitting.
- **4.4. Continued Documentation after Final Plan Acceptance** HFC shall be kept informed of any substantial deviations from the approved Construction Documents. Document any substantial deviations in writing to HFC.
- **4.5. Construction Phase Inspections** Unless agreed upon with HFC, a minimum of three site construction progress visits are required In wall inspection, above ceiling inspection, and a Final Project Acceptance Inspection. The specific requirements for the construction inspections are detailed in **Appendix 11** to this Guidelines Manual.
 - 4.5.1. <u>Project Permitting</u> HFC does not issue general building permits. The General Contractor shall secure all required building permits for the project from the local municipality. Work without proper permitting shall not be inspected by HFC.
 - 4.5.2. <u>Schedule a Construction Inspection</u> To schedule a construction inspection the lead design professional shall schedule <u>directly with the assigned HFC staff member</u>. The lead design professional shall schedule, coordinate and attend all inspections.
 - Although we can often accommodate same week inspections, please allow a two-week notice for any inspection. It is far to the advantage of all concerned that sufficient inspections be made. It shall be the responsibility of all Design Professionals to confirm that areas requiring inspection are ready for a HFC inspection.

- 4.5.3. <u>Attendance at Construction Inspections</u> Construction inspections shall be attended by all parties deemed necessary by the design professional and the general contractor to expedite and complete a thorough inspection, however, at a minimum, each inspection shall be attended by the design professional and the general contractor. If these parties are not present, the inspection will be immediately terminated.
- 4.5.4. <u>Inspection Documentation</u> The Design Professional shall take detailed notes at each inspection. These inspection notes shall be circulated to all involved parties within five calendar days of the inspection visit. The inspection notes shall also be retained for inclusion into the record documents and formatted as directed by HFC in a "punch list" sign/counter sign format for the Final Acceptance Inspection. A sample sign/countersign document is contained in **Appendix 13** of this manual. An Excel worksheet of this form can be obtained from the HFC staff architect.
- 4.5.5. <u>Documentation for Final Project Acceptance</u> Completed projects cannot be inspected for Final Licensing until the appropriate documentation is compiled and assembled into a 3-ring binder. This binder shall be presented at the Final Acceptance Inspection. This documentation is itemized in **Appendix 12** to this Guidelines Manual. The binder will be reviewed at the Final Acceptance Inspection and provided to the Owner.
- **4.6. Fire and Life Safety During Construction** Whether patient, resident, employee or visitor, the safety of the users of health care facilities is of paramount importance during any construction or renovation project. It is the responsibility of the facility director and the design/construction team to perform the scope of work in such a manner to ensure the safety of these user groups and the uninterrupted proper functioning of the various building life safety structures and systems. Please reference **Appendix 14** for general guidance in ensuring life safety.

Health Facilities Construction Section (DHFC) Guidelines Manual	14 of 33
Appendix 1 – Construction Project Information Form – Images of the form are included of three pages. The fillable form can be obtained at https://dph.sc.gov/professionals/healthcare-facility-regulations-licensing-con/health-facilities .	_

The remainder of this page is left blank intentionally.

Appendix 2 – When a Project Must Undergo the HFC Review Process

- 1. Projects that Require Review and Acceptance by HFC Cost has no bearing on whether or not a review and acceptance is required. Projects that are licensed for the first time or whose license has lapsed shall submit signed and sealed documents prepared by a design professional that indicate compliance of the facility with the current DPH Regulation and all adopted building codes and standards whether construction is required or not. Projects that include any modification to the physical plant of a licensed facility that affects any of the following require review.
 - A. the function, use, or accessibility of an area
 - B. the structural integrity of the building or area
 - C. the active and passive fire safety systems (including kitchen equipment such as exhaust hoods or changes to the equipment required to be under a hood)
 - D. all door, wall or ceiling system assemblies
 - E. all modifications that involve penetrating, altering, or replacing a fire-resistant rated or smoke wall/element, partition, horizontal assembly, or opening protective in the rated element/assembly F. exits, exit access corridors, or exit passageways
 - G. roofing replacements, mechanical, electrical and plumbing systems (except simple fixture changes and low voltage systems)
 - H. projects pertaining to any life safety system (such as fire detection and notifications, fire sprinkler system, nurse call system, and emergency generators)
- 2. <u>Projects that do not Require Review and Acceptance by HFC</u> The HFC does not require a review of general facility maintenance items, defined as the act of keeping property or equipment in good condition by making repairs, correcting problems, etc. If you are unclear if a project is considered maintenance or construction, please contact our office for guidance. The following are examples of work that are considered routine maintenance and do not require review and acceptance by HFC.
 - A. <u>Painting</u> a CPIF is not required for this work, but the facility is required to comply with current building codes and applicable standards for correct type and application of all the material.
 - B. <u>Window treatments</u> a CPIF is not required for this work, but the facility is required to comply with current building codes applicable standards for correct type and application of all the material.
 - C. <u>General Finishes</u> a CPIF is not required for this work, but the facility is required to comply with current building codes and applicable standards for correct type and application of all the material. The facility shall also ensure that any finishes containing hazardous materials are removed/encapsulated appropriately. General finishes may include but is not limited to; acoustical ceiling panels only, moldings, wallcoverings, corner and wall guards, wall base, and flooring materials such as vinyl, carpet,

- and sheet flooring materials. Removing hardwood or ceramic flooring and replacing these materials are not in this category and are required to be reviewed by HFC.
- D. Replacement of Base and Wall Cabinets and Countertops a CPIF is not required for a simple replacement of existing base and wall cabinets that does not alter the size or configuration of the cabinets or require any modification or penetration of existing walls/ceilings.
- E. <u>Repairing leaks</u> a CPIF is not required for this work, but the facility is required to comply with current building code applicable standards for correct type and application of all the material. If the leak has damaged the facility due to mold growth, or any other reason, and requires any wall assembly replacement, please contact our office for direction.
- 3. If any type of work, maintenance or otherwise, is performed to a standard inconsistent with codes or regulations, the work will require repair and/or complete replacement. In this instance, your facility may also be cited for failure to comply with the pertinent codes or regulations.

<u>Projects of Limited Scope</u> – All projects will fall within one of the two categories above. Due to the nature of the ongoing renovation process in many facilities, it is prudent to meet and consult with the HFC staff architect/engineer assigned to their facility on a quarterly basis (every three months) to review upcoming projects and assess the classification of each project as to whether they require the review of HFC and the exact document submittal requirements for each project. This process will prevent misclassifications of projects and facilitate the review and approval processes for the facility.

Appendix 3 – List of Building Codes and Standards

The following building codes and standards are applicable unless noted otherwise in the applicable governing regulation. Alternatively, in accordance with S.C. Code Section 1-34-40, a facility may comply with the latest published edition of a nationally recognized code referenced by the applicable regulation. In such case, the facility must still comply with any specific design and construction requirements enumerated in the regulation itself.

<u>Building Codes</u> - The following building codes are used by HFC in the review of all projects. These codes have been adopted by the SCLLR and the South Carolina Building Code Council.

- 1. 2021 International Building Code (IBC)
- 2. 2021 International Fire Code (IFC)
- 3. 2021 International Mechanical Code (IMC)
- 4. 2021 International Plumbing Code (IPC)
- 5. 2021 International Fuel Gas Code (IGC)
- 6. 2009 International Energy Code (IEC)
- 7. 2020 National Electric Code (NFPA 70)

<u>Building Standards</u> – The following building standards are used by HFC in the review of all projects. These standards are enforced when specifically referenced from the IBC and other building codes. This list is not exhaustive since other standards may apply when referenced from the adopted codes.

- 1. 2017 ICC A117.1 Accessibility Standard
- 2. 2018 NFPA 10 Standard for Portable Fire Extinguishers
- 3. 2016 NFPA 13 Standard for the Installation of Sprinkler Systems
- 4. 2016 NFPA 13R Standard for the Installation of Sprinkler Systems in Low-Rise Residential Occupancies
- 5. 2016 NFPA 13D Standard for the Installation of Sprinkler Systems in One- and Two-Family Dwellings and Manufactured Homes
- 6. 2017 NFPA 70 National Electrical Code
- 7. 2016 NFPA 72 National Fire Alarm and Signaling Code
- 8. 2018 NFPA 99 Health Care Facilities Code
- 9. 2012 NFPA 101 Life Safety Code
- 10. 2016 NFPA 110 Standard for Emergency and Standby Power Systems

Appendix 4 – Design Professionals

<u>Design Professionals</u> – SCDPH Regulations require that all facilities licensed by the Department have a design professional registered in the State of South Carolina prepare signed and sealed design documents for all newly constructed facilities, newly licensed facilities, renovations and additions to existing facilities, and for a change of occupancy to an existing facility whether construction is required or not. Should the scope of work for the project be limited, the design professional may be an engineer, but normally the design professional will be an architect.

<u>Professional Seals</u> – All documents prepared by design professionals shall bear their signed seal(s) as required by the SCLLR professional licensing boards. The requirements can be obtained from the website: https://llr.sc.gov/arch/fag/Professional Practice.aspx

Appendix 5 – Schematic Design (SD) Plan Review Session Minimum Documents

- 1. <u>Request a Plan Review</u> Please contact the appropriate staff member based on the facility's location by county.
- 2. Required Documents for SD Review Bring one (1) full size complete set of drawings as identified below for review by HFC. These documents will be redlined by the plan reviewer during the plan review session and returned to the design professional for incorporation of the comments into the design documents. The review documents shall be retained by the design professional until final plan approval. Please ask staff about the possibility of an online plan review.

The following documents shall establish a minimum for a Schematic Design Plan Review with HFC.

1. Building Program

- a. List of functions and spaces provided in the proposed construction.
- b. A schedule indicating the total number of patient beds categorized by new or existing and the number of beds in each bedroom.

2. Schematic Drawings

- a. <u>Site Plan</u> Indicating the proposed site improvements including, but not limited to; roads, walks, parking, service and entrance courts, and the building(s).
- b. Fire and Life Safety Plan This plan is CENTRAL to our review of your project, and it is ESSENTIAL for the plan to completely delineate the fire and life-safety issues of the project to a Schematic Design level (see Appendix 9). The plan shall indicate building square footage(s), occupancy group(s), the type of construction per the IBC, fire-rated walls, smoke compartments, and other pertinent items to allow a schematic level review of the life safety issues of the proposed project. If the building is an addition or is otherwise related to other buildings on the same site, indicate the fire-resistance ratings of the existing building elements and the type construction of the existing building(s).
- c. <u>Floor Plans</u> Floor Plans of each floor delineating the relationships of the various spaces, the plan arrangements of each room including furniture in bedrooms and assembly areas, room names, and room dimensions.

Appendix 6 – Plan Review Comment Documentation Form – A copy of an Excel worksheet file of this form can be obtained from the regional staff architects. Either this form fully executed, or a similar one must be used to document all HFC plan review sessions and shall be included on the final CD at Plan Acceptance.

DHFC Plan Review Documentation

Design Firm	ilec			11
Number SM/Deto	Drawing DHFC Command 2H/Detail DHFC Command	Design Polesional Comment/Action	DiffC follow-up Comment/Comment Resolved?	Futher Action
5055				86
- 12				8
	200			533 L
100		100		35
800		300	203	
	880	888	0000	-
ž	0		8	96
e e			000	***
	1363			850
- 1			/	
3000	×22.5	200	5003	0.00
	88		0000	
700	900	2	835	866
3		N.	- 5	
9				

- 1			·	
500	×22.0	200	5000	0.00

Appendix 7 - Design Development (DD) Plan Review Session Minimum Documents

- Request a Plan Review Please contact the appropriate staff member based on the facility's location by county.
- 2. Required Documents for DD Review Bring one (1) full size complete set of drawings as identified below for review by HFC. These documents will be redlined by the plan reviewer during the plan review session and returned to the design professional for incorporation of the comments into the design documents. The review documents shall be retained by the design professional until final plan approval. Please ask staff about the possibility of an online plan review.

The following documents shall establish a minimum for a Design Development Plan Review with HFC.

- 1. <u>Civil Drawings</u> Civil drawings shall indicate existing grade structure and proposed improvements. Provide a vicinity map and building locator guide. Indicate location of existing and proposed fire hydrants, fire lines, and external sprinkler system components.
- 2. <u>Fire and Life Safety Plan</u> Provide a single sheet overall floor plan showing fire and smoke compartments, all means of egress and all exit markings. Dimension smoke compartments, calculate areas of smoke compartments and draft stop compartments (if any); and calculate and tabulate exit units required per IBC Chapter 10. See **Appendix 8** for the *required format* for the presentation of this information.
- 3. Architectural Drawings
 - a. <u>Floor Plans</u> Provide floor plans (overall, 1/8" scale, and enlarged) indicating all walls, room designations, doors and door swings, windows, cabinet work, fixed equipment, plumbing fixtures, and accessible clear floor space requirements around plumbing fixtures and other building elements required to be accessible.
 - b. <u>Enlarged Plans</u> Provide enlarged plans of typical new bedrooms with tabulations of net square footage of each room (interior room dimension minus areas referenced in DPH regulations).
 - c. Building Elevations Provide all exterior building elevations.
 - d. <u>Building Section and Wall Sections</u> Provide typical building sections, typical large scale wall sections, and interior partition sections.
- 4. <u>Mechanical Drawings</u> Provide single-line diagrams of the ventilation system with the relative pressures of each space. Provide, at least in outline form, a description of the operation of the system and either a description or drawings of any anticipated emergency smoke control systems correlated with the Fire and Life Safety Plan.

- 5. <u>Electrical Drawings</u> single-line diagram of essential, normal, and alternate power circuiting systems, service entrance(s), switchboards, transfer switches, distribution panel boards, and the description of building loads. Show fire alarm zones, correlated with the Fire and Life Safety Plan.
- 6. <u>Outline Specifications</u> Provide a general description of the construction, including construction classification and rating of components, U.L. assemblies, interior finishes, general types and locations of acoustical material, floor coverings, hardware groups, electrical equipment, ventilating equipment, and plumbing fixtures.
- 7. <u>Existing Facility Layout</u> Whenever an addition, alteration, renovation or remodeling to an existing facility is proposed, the general layout of spaces of the existing facility along with any existing sprinklered areas with the designation of the type of system shall be included with the Design Development Plan Review Documents.

Appendix 8 - Final Construction Document (CD) Plan Review Session Minimum Documents

- 1. <u>Request a Plan Review</u> Please contact the appropriate staff member based on the facility's location by county.
- 2. Required Documents for CD Review Bring one (1) full size complete set of drawings and the project manual as identified below for review by HFC. These documents will be redlined by the plan reviewer during the plan review session and returned to the design professional for incorporation of the comments into the design documents. The review documents shall be retained by the design professional until final plan approval.

<u>Documentation Form for Final Plan Acceptance</u> – Provide this Form as a cover with all the information indicated. The form is **Appendix 10** of this Guidelines Manual.

<u>Fire Department Letter</u> – This letter shall indicate the local fire department has the equipment or arrangements to fight a fire in that type of facility. (Not required if a fire hydrant is within or will be within four hundred (400) feet from any portion of the building for unsprinklered buildings or six hundred (600) feet for sprinklered buildings).

The following documents shall establish a minimum for a Construction Document Plan Review with HFC.

- 1. <u>Organization of Documents</u> Prepare all documents in a neat, appropriately ordered, and organized package.
- Appropriately Professionally Sealed and Signed Professionally seal and sign each sheet of the final
 construction drawings and the pages of the project manual as required by the professional licensing
 boards.
- 3. <u>Drawings</u> All construction documents required to completely delineate the total scope of the project consisting of work related to, but not limited to, civil engineering, architectural, structural engineering, mechanical engineering, plumbing engineering, electrical engineering, and any other required disciplines shall be 100% complete and ready to issue for construction purposes.
- 4. <u>Commercial Kitchen Drawings</u> The drawings shall include a floor plan of the kitchen indicating all the equipment, a full equipment list and all equipment specification sheets.
- 5. <u>Project Manual</u> The project manual consisting of all construction bidding, contractual, and performance requirements and all technical specification sections shall be 100% complete and ready to issue for construction purposes.
- 6. <u>Inter-discipline Building Fire Component Coordination</u> All architectural and engineering discipline floor plan drawings (mechanical, plumbing, electrical, etc.) shall contain room names and numbers and shall coincide with the location of all rated building elements including, but not limited to, fire-rated wall assemblies (fire walls, fire barriers, and fire partitions, and smoke barriers), smoke

partitions, horizontal exits, and exit passageways as indicated on the Fire and Life Safety Plan. <u>All</u> <u>wall rating designations shall appear on all architectural and engineering floor plans</u>. This is to ensure that all components which penetrate, or otherwise relate to, rated walls do so according to code requirements.

- 7. <u>Schedules</u> Provide a hardware, door, window, and finish schedules.
- 8. <u>Fire and Life Safety Plan and Building Code Information</u> See **Appendix 9** for the *required format* for the presentation of this information.

Appendix 9 – Required Format of Fire and Life Safety Plan and Documentation of Building Code Information

All sets of plans shall contain a Fire and Life Safety Plan that conforms to the minimum requirements of this Appendix beginning with Schematic Design. All Fire and Life Safety information shall be displayed in the set of drawings in the following format.

- 1. <u>Fire and Life Safety Plan(s)</u> This drawing shall be at an appropriate scale to include the whole building footprint on a single sheet and shall indicate the following:
 - a. Fire areas and smoke compartments, indicating the length, width, configuration, and square footage of each compartment.
 - b. Calculations and area designations (each side of smoke compartments) for all refuge areas in I-2 and I-1 Use Condition 2 projects.
 - c. All fire-resistant rated walls, smoke barriers, and smoke partitions with designations as to type per IBC Section 706 710. These walls shall be color coded on the plan.
 - d. All means of egress and exit signs and directional arrows, and interior exit lighting, and exit discharge exterior lighting.
 - e. Provide for each exit door the actual clear exit width, the calculated exit capacity, and the actual calculated number of persons using the exit based on the proposed exiting strategy.
 - f. Location of all fire extinguishers, color coded in green.
 - g. Locations of smoke detectors, CO detectors, and other fire alarm components.
 - h. Indications of fire sprinklered areas with hazard classifications.
 - i. Delineate and calculate exit access travel distances and commons paths of egress travel.
- 2. <u>Documentation of Building Code Information</u> Provide in tabular format complete building code summary information as required to completely document conformance of the design of the project. This includes, but is not limited to, listing the occupancy group(s); strategy for treatment of mixed occupancies; special requirements based on occupancy/use; building height and area allowed/actual with all allowed increases; construction type; fire-resistance rating requirements for building elements, exterior wall rating requirements based on FSD; smoke and fire protection requirements including fire alarm system, standpipes, and sprinkler system and sprinkler system type(s); etc.
 - a. Locate this documentation in close proximity to the Fire and Life Safety Plan in the set of construction drawings.
 - b. As a component of this documentation, provide a list of the building codes, the building standards, and the regulations used in the design of the facility.

Appendix 10 – Documents Required for Final Plan Acceptance – This sheet shall be the Cover for the submission of the required documents.

All of the documents listed below are not applicable to every project. The design professional shall provide all that are applicable to the subject project:

Project Name:	
Name of Facility:	
License Number:	
HFC Project Number:	
Design Professional:	

Document Name	Date of Document
DPH Licensing Application	
CON – Part B	
Permits	
Sewer	
Water	
Storm Water	
Zoning	
DPH Radiation Health Equipment Log	
Letter of Fire Department – Stating the local fire	
department has the equipment or arrangement to	
fight a fire in the subject facility. *	
SC State Fire Marshal Sprinkler Specification Sheet	
Kitchen Layout & Equipment Lists	
Final Construction Documents (sealed & signed)	
Review Comments from Each Plan Review Session	
See Appendix 5A	

<u>Submission Format</u> - Following the final construction document review session, provide HFC a compact disk in a hard case containing all the required information in PDF format. Receipt of this compact disk by HFC is required prior to final project acceptance to proceed with construction. Identify the project name and licensing number on the hard case. Provide a copy of the architect contact's business card inside the hard case.

* The letter is not required if a fire hydrant is within 400 feet from any portion of a building if non-sprinklered or 600 feet if the facility is sprinklered.

Appendix 11 – Construction Phase Inspections

- **1. Required Inspections** Request inspections by contacting the appropriate staff member.
 - A. <u>In Wall Inspection</u> This inspection shall be scheduled prior to placement of insulation and installation of drywall. This is considered a generalized inspection with the intent of all interested parties meeting and addressing all initial project concerns.
 - B. <u>Above Ceiling Inspection</u> The inspection shall be scheduled when all drywall and above ceiling work is complete but prior to the installation of suspended ceiling panels, gypsum ceilings, and soffit finishes. All above ceiling items shall be visible for inspection. We are most efficient inspecting from floor level. Ceiling panel and soffit finishes will delay our inspection. Only suspended ceiling panels required to complete the installation of a ceiling device shall be allowed to be installed. Border pieces and all other suspended ceiling panels shall not be installed until after the inspection and acceptance of the work by HFC.

Above ceiling and Final Project Acceptance Inspections have generally one rule "everything shall be complete at the time of inspection". This shall include Owner provided items. The above ceiling inspection shall include but not be limited to the inspection of all wall systems, ceilings, seismic requirements, installation of all wiring, mechanical, electrical, plumbing, fire protection and all low voltage systems.

The HFC inspector shall determine at the close of the inspection if a follow-up inspection is required after any identified deficiencies have been corrected.

C. <u>Final Inspection</u> – Prior to scheduling this inspection, the design professionals shall ensure that all punch list items have been 100% completed and that all systems have been suitably tested and are performing as intended; *all* work must be complete to a point where the project can be immediately occupied by patients/residents/participants and staff. This shall include, but not be limited to, all fixed items, building finishes, window shades, and shower curtains. If an individual cannot easily pick up and remove the item, it needs to be installed for the Final Project Acceptance Inspection. Furnishings such as non-fixed tables, chairs, desks, beds, etc. do not need to be installed for the HFC Final Project Acceptance Inspection.

2. Final Project Acceptance Inspection Requirements

A. <u>Testing of Life Safety Systems</u> - At the Final Project Acceptance Inspection, HFC will check all items and test all major building life safety systems including, but not limited to, the sprinkler system, the fire alarm system, nurse call systems, and emergency power systems. However, the HFC Final Project Acceptance Inspection is not to be used as a substitute for the Design Professional / General

Contractor systems testing and "punch list" development. If, at the Final Project Acceptance Inspection, it is obvious that this has happened, the Final Project Acceptance Inspection will be terminated.

- B. Ready for Occupancy Upon our arrival, the building shall be **finished**, including the Owner installed items. The building shall be clean, and ready to occupy by the Owner.
- C. <u>Documentation for Final Construction Inspection</u> At the Final Project Acceptance Inspection the Design Professional shall make this binder available for review by HFC. An NOC will not be issued until the required final project documentation is received and reviewed.
- D. <u>Notice of Completion</u> Upon satisfactory completion of the construction inspection, a Notice of Completion (NOC) document will be issued to Licensing Services indicating that the project construction is accepted as ready for occupancy. The OLS will contact the Owner to arrange for a visit by Licensing Services prior to occupancy. Licensing will not visit until the HFC has indicated acceptance of construction.
- E. <u>Inspection by the Division of Health Facilities Licensing</u> Prior to Owner occupancy of the project and following the NOC issuance by HFC, Licensing Services must inspect the project prior to occupancy. When OLS makes their inspection, if all is acceptable, the building or area can be occupied and placed into service immediately. HFC has limited authority to grant permission to occupy an area or building. If the area or building is occupied by the Owner before approval from licensing, significant action and fines may be imposed.

Appendix 12 – Documents Required for Final Project Acceptance – This sheet shall be the Table of Contents for the submission of the required documents.

Health Facilities Construction Section (HFC) Guidelines Manual

All of the documents listed below are not applicable to every project. The design professional shall provide all	that
are applicable to the subject project:	

Project Name:	
Name of Facility:	
License Number:	
HFC Project Number:	
Design Professional:	

Document Name	Date of Document
Contact Information for all Design Professionals	
Certification Letter from the Architect and the P/M/E	
Consultants that the project was constructed	
and tested per the approved Construction	
Documents with any approved changes.*	
Bacteriological Test	
Flame Spread Documentation for Interior Finishes	
Local Certificate of Occupancy	
Local Building Permit	
Fire Alarm System Certification*	
Kitchen Hood Extinguishing Certification*	
SC State Fire Marshal Sprinkler Plan Approval	
Elevator Certification *	
Nurse Call Certification*	
Emergency Generator Certification*	
HVAC Air Balance Report	
Backflow Preventer*	
Medical Gas Certification*	
Dialysis Water Certification*	
Sprinkler Contractor Certification (above and below	
ground)*	
Radiation Shielding Approvals	
HFC Punch Lists (Sign/Countersign) See Appendix	
11A	
HFC Fire and Life Safety Inspection	
Most Current Application and Certification for	
Payment fully executed	
"As-Built" CD to Facility	

* The Letters of Certification must indicate that the systems "have been tested and found to be operating properly and as designed." It is not acceptable that they just say that the systems have been installed according to code.

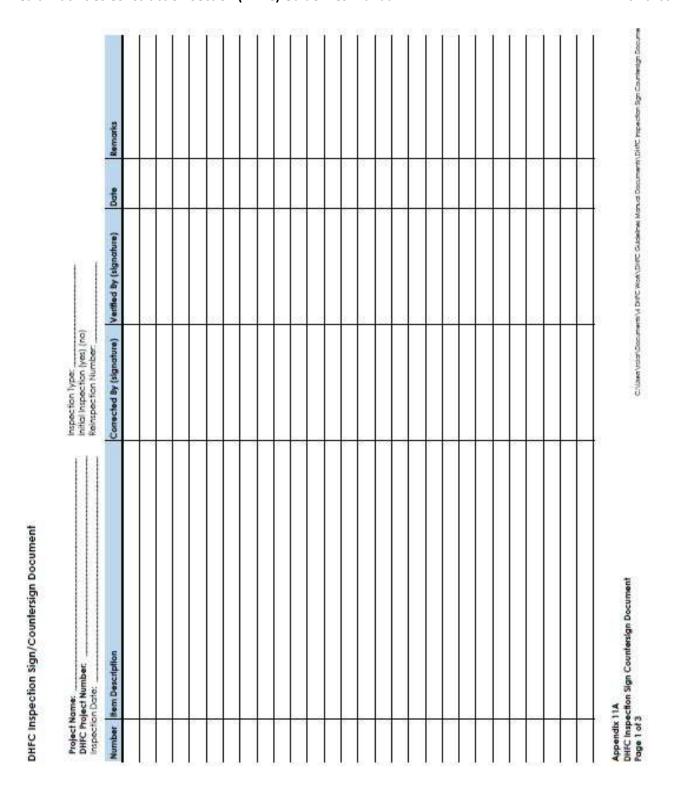
Additional Information as Applicable

- 1. Documentation from the Bureau of Air Quality Control, South Carolina Department of Environmental Services if project involves their requirements.
- 2. Documentation of approval of the Division of Water Supply, South Carolina Department of Environmental Services on applicable requirements for permits and approvals.
- 3. Documentation of approval of the Division of Domestic Wastewater, South Carolina Department of Environmental Services on applicable requirements for permits and approvals.

<u>Submission Format</u> - Assemble all the required documents into a three-ring binder. On the form, place the dates of the "Letters of Certification". The binder shall be brought to the Final Project Acceptance Inspection at which time it will be reviewed by HFC and provided to the Owner.

Health Facilities Construction Section (HFC) Guidelines Manual

Appendix 13 – Inspection Sign/Countersign Document – A copy of an Excel worksheet file of this form can be obtained from the regional staff architects. Either this form or a similar one must be used to document all HFC inspections and shall be included in the Project Closeout Manual.



Appendix 14 – Guidelines for Fire and Life Safety During Construction – Demolition and renovation of areas within an existing facility must be carried out in a manner that considers all factors affecting patient, staff and public safety; and that does not present hazardous conditions to the patients.

- 1. <u>Planning for Patient, Staff, and Visitor Safety</u> Life safety during construction within a facility is the responsibility of the facility. It may be necessary that sections of the facility or building be temporarily closed to patients and staff until such time that the area can be used under safe conditions.
- 2. <u>Coordination of Life Safety Measures and Systems</u> During renovations, a higher-than-normal degree of awareness of fire and safety hazards must be maintained by the facility staff and construction personnel.
 - A. Temporary adjustments must be made in the fire evacuation plans and housekeeping procedures until the facility is restored to a condition of normalcy (i.e. all life and safety systems and safeguards are operational or complete).
 - B. Close coordination with all fire officials is necessary prior to, and during renovations, to receive their professional safety input and to satisfy particular requirements they may need to impose for patient and building safety.
 - C. Fire and Life Safety Systems which may require temporary shut-downs shall remain in a "down" condition for as short a time as possible. Prior to temporary shut-down of fire alarm, smoke detection, and sprinkler systems, coordinate with HFC and all local fire officials. These temporary shutdowns of life safety systems must be as brief as possible. Alternate safety provisions must be considered during the shutdowns such as implementation of a fire watch.
 - D. The integrity of fire- resistance rated barriers / partitions in occupied areas must be maintained.
 - E. Provide additional fire extinguishers as may be required throughout the area under renovation, but specifically in areas where hazardous activities such as welding are undertaken.
 - F. Appropriate egress as required by codes is to be provided during the renovation process. Exits must be marked and maintained in a clear an unobstructed manner to a safe point outside the building. Temporary exit signs may be necessary.
 - G. In essence, renovation phases must be planned to promote fire and life safety during this time of "higher than normal" hazard to the occupants.
- 3. Progress Cleaning During construction, occupied portions of the facility must be kept neat and clean.
 - A. The facility staff and construction personnel shall insure that dust and construction debris is not distributed through the portions of the health care facility remaining in operation.
 - B. Areas under renovation are recommended to be sealed off from portions of the facility in use with appropriate noncombustible materials. Seal off corridors, ductwork and other areas where dust may penetrate.
 - C. Provide negative pressure machines to ensure the renovated space is not contaminating the occupied surrounded areas. All negative pressure machines shall be vented to the exterior.